

Possibility Semantics for Intuitionistic Logic

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Abstract: The paper investigates interpretations of propositional and first-order logic in which validity is defined in terms of partial indices; sometimes called possibilities but here understood as non-empty subsets of a set W of possible worlds. Truth at a set of worlds is understood to be truth at every world in the set. If all subsets of W are permitted the logic so determined is classical first-order predicate logic. Restricting allowable subsets and then imposing certain closure conditions provides a modelling for intuitionistic predicate logic. The same semantic interpretation rules are used in both logics for all the operators.

In standard modellings of intuitionistic logic, disjunction (\vee) is usually understood as classical, while negation (\sim or \neg) is understood non-classically. In this paper I present a semantics for intuitionistic logic according to which it is disjunction, rather than negation, which is not classical. I call it ‘possibility semantics’, following Humberstone 1981 and Chapter 8 of Cresswell 1990, because it treats the indices at which formulae are evaluated for truth and falsity as ‘partial’ or ‘incomplete’ indices. One way of understanding an incomplete index is in the sense of a proposition, where a proposition is thought of as a class of possible worlds. In looking at intuitionistic logic from a classical perspective it is natural to follow the Kripke modelling and interpret intuitionistic negation \neg as something like $\Box\sim$, where \Box is the necessity operator and \sim is classical negation, together with a restriction on the value assignments to the propositional variables. I shew how to give a unified semantics for both \sim and \vee which gives classical or intuitionistic logic according to different restrictions placed on the ‘allowable’ indices. The philosophical importance of this is that you can then exhibit the difference between the two logics not as a matter of

what you choose to mean by ‘not’ or any other operator, but as a matter of how the world presents itself for evaluation in terms of truth.¹

So assume a set W of possible worlds and assume a value assignment V which assigns to every variable in a standard propositional language a set of possible worlds. Assume that the language contains \sim, \wedge, \supset and \vee . Where w is a possible world we may define truth at w in the obvious way, where \models is the ‘truth predicate’ which depends on V :

$$\begin{aligned} \models_w p &\text{ iff } w \in V(p). \\ \models_w \sim\alpha &\text{ iff } \not\models_w \alpha \text{ (where ‘}\not\models\text{’ means ‘not } \models\text{’).} \\ \models_w \alpha \wedge \beta &\text{ iff both } \models_w \alpha \text{ and } \models_w \beta. \\ \models_w \alpha \supset \beta &\text{ iff either } \not\models_w \alpha \text{ or } \models_w \beta. \\ \models_w \alpha \vee \beta &\text{ iff either } \models_w \alpha \text{ or } \models_w \beta. \end{aligned}$$

Call a wff *valid* iff it is true at every index in every assignment. The class of valid wff is of course just the classical propositional calculus. What then about truth at a proposition? Assume the (classical) ‘adequacy requirement’ that a wff is true at a (non-empty) set α of worlds iff it is true at every $w \in \alpha$. ‘ α is true at α ’ can be written as $\models_\alpha \alpha$ and the requirement can be stated as:

$$[A] \models_\alpha \alpha \text{ iff } \models_w \alpha \text{ for all } w \in \alpha.$$

For propositions of the form $\{w\}$, i. e. propositions consisting of a single world, the rules given above can be stated with $\models_{\{w\}}$ in place of \models_w . It is a consequence of requirement [A] that negation at a set of worlds does not satisfy the standard truth table. For suppose $\alpha = \{w_1, w_2\}$, and $V(p) = \{w_1\}$. Then, by [A], $\models_\alpha p$, since $w_2 \in \alpha$ and $\not\models_{w_2} p$, and $\models_\alpha \sim p$ since $w_1 \in \alpha$ and $\models_{w_1} \sim p$. But despite this [A] gives us a completely classical logic in the sense that all classically valid wff of this language will be true at every possible world and so true at every set of worlds.²

I shall now investigate what happens if in place of the truth rules given in terms of possible worlds and then applied to truth at propositions via requirement [A] we try to define directly truth at a proposition. Say that $\langle W, V \rangle$ is a *tractarian*³ model iff W is a set (of ‘worlds’) and for every propositional variable

¹There are of course many discussions of the semantics of intuitionistic logic, and I am able to do no more than allude in passing to some of them. For instance, comments on an earlier version of this paper suggested that its results were well known as the ‘Beth-Kripke-Joyal semantics’, as reported in theorem 4.39 on p. 156 of Bell 1988 or in Theorem 8.4 on p. 166 of Lambek and Scott 1986. It is true that the Beth-Kripke-Joyal semantics depends on a non-standard semantics for \vee which gives classical logic in standard set theory, and gives intuitionistic logic under other conditions. However, I shew below that while there may be *some* connection between the Beth-Kripke-Joyal semantics and the results obtained here it does not seem to be a direct connection. (I am grateful to Lloyd Humberstone for encouraging me not to lie down and play dead when I was accused of re-inventing the wheel!)

²A principle like [A] in the context of tense logic with indices as intervals is mentioned on p. 42 of van Benthem 1985.

³I use the word ‘tractarian’ to acknowledge the influence of Wittgenstein 1921 on at least one way of understanding incomplete indices. I discuss this at the end of the paper.

$p, V(p) \subseteq W$.⁴ ($V(p)$ may be empty.) Where $\emptyset \neq a \subseteq W$ we define the truth of a wff α at a , written $\models_a \alpha$, as follows. For atomic wff we have

$$[p] \models_a p \text{ iff } a \subseteq V(p).$$

(In strictness I should write $V \models_a \alpha$ to indicate the dependence of \models on V , but throughout the paper I shall suppress this where it is obvious which model is involved.) The rule for conjunction poses no problems:

$$[\wedge] \models_a \alpha \wedge \beta \text{ iff } \models_a \alpha \text{ and } \models_a \beta.$$

For \sim , requirement [A] would make $\sim p$ true at a iff p is false at every $w \in a$. In terms of propositions this can be stated as

$$[\sim] \models_a \sim \alpha \text{ iff } \models_b \alpha \text{ for every } \emptyset \neq b \subseteq a.$$

Say that an operator O is (classically) *respectable* iff, provided $\alpha_1, \dots, \alpha_n$ satisfy [A] then $O\alpha_1 \dots \alpha_n$ satisfies [A]. It is an obvious consequence of this definition that if all atomic wff satisfy [p] and all the operators are respectable then all wff satisfy [A]. Further, if all operators are truth-functional at worlds, then the class of wff true at every index in every interpretation is just the classical propositional calculus. Validity of course now means truth at every non-empty set of worlds in every tractarian frame. If [A] is in force then $[\sim]$ emerges as a completely classical account of negation for the following reason: Suppose $\models_a \sim \alpha$. Then $\models_b \alpha$ for all $b \subseteq a$. In particular, if $w \in a$ then $\{w\} \subseteq a$ and so $\models_w \alpha$ and so $\models_w \sim \alpha$ for all $w \in a$. Suppose $\models_a \sim \alpha$. Then for some $b \subseteq a$, $\models_b \alpha$. But if α satisfies [A] then for any $w \in b$, $\models_w \alpha$ and so $\models_w \sim \alpha$. But $w \in b$ and $b \subseteq a$, and so for some $w \in a$, $\models_w \sim \alpha$.

The rule for implication is

$$[\supset] \models_a \alpha \supset \beta \text{ iff for every } b \subseteq a \text{ either } \models_b \alpha \text{ or } \models_b \beta.$$

It is a consequence of $[\supset]$ that \supset is respectable. If $\models_a \alpha \supset \beta$ and $w \in a$ then, by $[\supset]$, $\models_w \alpha$ or $\models_w \beta$ and so $\models_w \alpha \supset \beta$. Conversely, suppose $\models_a \alpha \supset \beta$. Then, by $[\supset]$, for some $b \subseteq a$, $\models_b \alpha$ and $\models_b \beta$. So there is some $w \in b$ such that $\models_w \beta$.

⁴I have stated things on the assumption that a ‘propositional’ index a is a set of worlds. That is why I have chosen to use the word ‘proposition’ rather than Humberstone’s word ‘possibility’. While my formulation of [A] requires the assumption of sets of worlds the case can be described without that assumption. It is important to do so since those who want to use ‘incomplete’ indices in this way often object to construing them as sets of complete indices. Following Humberstone 1981 call a' a *refinement* of a , if a' gives all the information that a gives, and perhaps more besides. If a is a set of worlds, as I have been assuming in the text, then refinement is simply class inclusion. Humberstone 1981, p. 318, has a condition he calls *refinability*, that if neither α nor $\sim \alpha$ is true at an index then there are two refinements of that index with α true at one refinement and $\sim \alpha$ true at the other. Humberstone is concerned to obtain classical logic as the logic of possibilities, though Humberstone takes possibilities as primitive. I am particularly grateful to Lloyd Humberstone for extremely detailed and helpful comments on an earlier draft of this paper.

But $w \in b$ and $\models_b \alpha$, so $\models_w \alpha$. So, for some $w \in b$, $\models_w \alpha \supset \beta$. But $b \subseteq a$ and so for some $w \in a$, $\models_w \alpha \supset \beta$. $[\supset]$ in conjunction with a ‘falsum’ constant, \perp , true at no world, will ensure that $\alpha \supset \perp$ is equivalent to $\sim\alpha$ according to $[\sim]$.

Despite the fact that these operators have been described as leading to classical logic the semantics just presented has a close connection with intuitionistic logic.⁵ The standard Kripke semantics for intuitionistic logic is based on frames of the form $\langle I, R \rangle$ where I is a set of indices and R a reflexive and transitive relation on the indices. A model $\langle I, R, V \rangle$ places on V the condition that if a propositional variable p is true at an index i and iRj then p is true at j . I have referred to an *index* i rather than to a world, since ‘index’ is a neutral term. In a tractarian frame the indices are non-empty sets of worlds, but in the Kripke semantics nothing is said about what they might be. If you treat an index as a *set of worlds* — as what I have called a proposition — then you can say that aRb iff $b \subseteq a$. So any tractarian rule in which the conditions are stated using only \subseteq has an analogue in a Kripke model for intuitionistic logic. In particular, the Kripke rules for \wedge , \sim and \supset are the same as those given for tractarian models except that I write $V(\alpha, i) = 1$ instead of $\models_a \alpha$ and $V(\alpha, i) = 0$ instead of $\not\models_a \alpha$. Specifically they are these:

$$\begin{aligned} V(\alpha \wedge \beta, i) &= 1 \text{ if } V(\alpha, i) = 1 \text{ and } V(\beta, i) = 1, \text{ and } 0 \text{ otherwise.} \\ V(\sim\alpha, i) &= 1 \text{ if } V(\alpha, j) = 0 \text{ for every } j \text{ where } iRj \text{ and } 0 \text{ otherwise.} \\ V(\alpha \supset \beta, i) &= 1 \text{ if, for every } j \text{ where } iRj, \text{ either } V(\alpha, j) = 0 \\ &\quad \text{or } V(\beta, j) = 1, \text{ and } 0 \text{ otherwise.} \end{aligned}$$

In such a semantics one might regard the frame as setting the structure of logical space, while the valuation rules determine the meaning of the operators within that space. There is a trade-off here. A paper such as Došen 1991 shews that the reflexive and transitive properties of R can be omitted if we impose restrictions on the truth sets of wff (i.e. the sets of indices at which a given wff is true.) By contrast, the aim of the present paper is to shew that by taking the indices as sets of worlds and by adopting a non-standard semantics for \vee , you can get either classical or intuitionistic logic according to which sets you admit as indices. Notice though — and this will be important enough for me to repeat from time to time — that restricting which sets of worlds count as allowable *indices* is quite different from specifying which sets of indices count as allowable truth sets. A procedure closer in spirit to the present paper, but using Kripke frames, would be one which simply restricts Kripke frames to one-world reflexive frames in order to get classical logic. Such a restriction is stated purely at the frame level. There is even a philosophical motivation for it in that a classical logician might be one who assumes that truth and falsity is a once-and-for-all matter, while an intuitionist sees it as a developing matter. However,

⁵In my discussion of intuitionistic logic I am relying primarily on van Dalen 1986, pp. 246–252. The paper is of course in the tradition of giving a ‘classical’ account of intuitionistic logic, but, unlike many other attempts to do this, it does not interpret intuitionistic logic by giving a meaning for \neg and \rightarrow different from the ‘classical’ meaning given to \sim and \supset .

one-world frames do not admit an explanation of the *necessity* of classical logic, and some classical logicians may therefore want more.

From the usual point of view intuitionistic negation and implication, frequently written \neg and \rightarrow , are considered non-classical. By contrast disjunction in the Kripke semantics for intuitionistic logic is considered classical, since \vee has the rule that $V(\alpha \vee \beta, i) = 1$ iff $V(\alpha, i) = 1$ or $V(\beta, i) = 1$. The corresponding tractarian rule would be:

$$[\vee] \models_a \alpha \vee \beta \text{ iff either } \models_a \alpha \text{ or } \models_a \beta.$$

But it is easy to see that when the indices are non-empty sets of worlds and $[A]$ is in force then it is $[\vee]$ rather than $[\sim]$ or $[\supset]$ which is not classically respectable. For let $a = \{w_1, w_2\}$ and let $V(p) = \{w_1\}$ and $V(q) = \{w_2\}$. Then $\models_{\{w_1\}} p$ and so $\models_{\{w_1\}} p \vee q$ and $\models_{\{w_2\}} q$ and so $\models_{\{w_2\}} p \vee q$. So by $[A]$, $\models_a p \vee q$. But $\not\models_{\{w_2\}} p$ and $\{w_2\} \subseteq a$ and so $\not\models_a p$ and $\not\models_{\{w_1\}} q$ and $\{w_1\} \subseteq a$ and so $\not\models_a q$. So, by $[\vee]$ we have the contradictory result that $\models_a p \vee q$.⁶

The following rule gives a semantics for \vee which is classically respectable:

$$[\vee^U] \models_a \alpha \vee \beta \text{ iff there are some } b \text{ and } c \text{ such that } a \subseteq b \cup c \text{ and } \models_b \alpha \text{ or } \models_b \beta, \text{ and } \models_c \alpha \text{ or } \models_c \beta.^7$$

⁶Note that $[\vee]$ gives the same result as the rule that $\models_a \alpha \vee \beta$ iff either $\models_b \alpha$ or $\models_b \beta$ for all $b \subseteq a$. There is an alternative semantics for \vee due to E. W. Beth. (See van Dalen 1986, pp. 246–252.) Say that a *path* is a set C of indices such that for any $a, b \in C$ either $a \subseteq b$ or $b \subseteq a$, and if $C \subseteq C'$ and for any $a, b \in C'$ either $a \subseteq b$ or $b \subseteq a$, then $C = C'$. Then say that B is a *bar* for a iff $B \subseteq \wp W$ and for any path C such that $a \in C$ there is some $b \in C$ such that $b \in B$. What this means is that every path through a goes through B .

$$[\vee^{\text{Beth}}] \models_a \alpha \vee \beta \text{ iff there is some } B \text{ which is a bar for } a, \text{ and for all } b \in B, \text{ either } \models_b \alpha \text{ or } \models_b \beta.$$

From an intuitionistic point of view the idea is that however the future develops at least one of α or β will eventually be true. In some futures it might be α and in others β , but in all of them one at least will be true. But the Beth semantics fares no better than $[\vee]$ from our point of view, since it is not hard to see that $[\vee^{\text{Beth}}]$ is not respectable. Let the indices be sets of worlds where we may take the ‘worlds’ to be natural numbers. Where W is the set of natural numbers let $a_0 = W$ and for $n > 0$ let $a_n = a_{n-1} - \{n\}$. Let $C = \{a_n : n \geq 0\}$. Obviously if $n \leq m$ then $a_m \subseteq a_n$. C is clearly a path, and further, it is a maximal path, for suppose there is some chain C' such that $C \subseteq C'$ but $C' \neq C$. Let $b \in C'$ but $b \notin C$. Then either (i) for some $n \geq 0$, $a_n \subseteq b \subseteq a_{n-1}$ and $b \neq a_n$ and $b \neq a_{n-1}$ or (ii) $b \subseteq a_n$ for every $n \geq 0$. If (i) then there is some k such that $k \notin a_n$ and $k \in b$, and some $j \notin b$ and $j \in a_{n-1}$. So $k \in a_n$ and $k \notin a_{n-1}$ and $j \in a_n$ and $j \notin a_{n-1}$. But in that case $k = n = j$, which contradicts the assumption that $k \in b$ and $j \notin b$. Suppose $b \subseteq a_n$ for every $n \geq 0$. Since $b \neq \emptyset$ suppose $h \in b$. But $h \notin a_h$. Now let $V(p) = \{n : n \text{ is even}\}$. Then $\models_a p$ for all $a \in C$ and $\models_a \sim p$ for all $a \in C$, and so there is no bar B for a_0 such that $\models_b p$ or $\models_b \sim p$ for all $b \in B$. So, by $[\vee^{\text{Beth}}]$, $\models_{a_0} p \vee \sim p$; and therefore $[\vee^{\text{Beth}}]$ is not respectable.

⁷Another rule might seem to be:

$$[\vee'] \models_a \alpha \vee \beta \text{ iff for all } b \subseteq a \text{ there is some } c \subseteq b \text{ such that } \models_c \alpha \text{ or } \models_c \beta.$$

See p. 322 of Humberstone 1981 and p. 238 of van Benthem 1986 for an equivalent condition. However, $[\vee']$ is simply what you get when you define $\alpha \vee \beta$ as $\sim(\sim\alpha \wedge \sim\beta)$, and will not therefore provide a semantics for the full intuitionistic logic, since, as is well known, the set of intuitionistically valid wff in \sim and \wedge is the same as those valid in classical logic. (See Gödel, 1933, p. 34.)

THEOREM 1 If \forall satisfies $[\forall^U]$ then \forall is classically respectable.

PROOF Suppose $\models_a \alpha \vee \beta$. Then there are some b and c such that $a \subseteq b \cup c$ and $\models_b \alpha$ or $\models_b \beta$, and $\models_c \alpha$ or $\models_c \beta$. Suppose $w \in a$. Then $w \in b \cup c$ and so $w \in b$ or $w \in c$. If $w \in b$ then $\models_w \alpha$ or $\models_w \beta$ and so $\models_w \alpha \vee \beta$. Likewise if $w \in c$. Now suppose that, for all $w \in a$, $\models_w \alpha \vee \beta$. First if $\models_w \alpha$ for all $w \in a$ then $\models_w \beta$ for all $w \in a$, and so $\models_a \beta$, and so $\models_a \alpha \vee \beta$. (And *mutatis mutandis* if $\models_w \beta$ for all $w \in a$.) Otherwise let $b = \{w \in W : \models_w \alpha\}$ and $c = \{w \in W : \models_w \beta\}$. Then $a \subseteq b \cup c$ and $\models_b \alpha$ and $\models_c \beta$, and so, by $[\forall^U]$, $\models_a \alpha \vee \beta$. $\#$

One way to distinguish between classical and intuitionistic logic might therefore be by choosing which of $[\forall]$ or $[\forall^U]$ to use. But using different interpretation rules seems an admission that \forall has different meanings, and in fact would permit a logic with two symbols, one governed by $[\forall]$ and one governed by $[\forall^U]$.⁸ It is an assumption of the present paper that the operators should obey the same rules in both logics, but that the differences should emerge by considering the nature of the indices. Since $[A]$ demands respectable operators I shall assume that the semantic rule for \forall is $[\forall^U]$ and shall examine what must be done to obtain intuitionistic logic using $[\forall^U]$. But first we need an important theorem:

THEOREM 2 If $\models_a \alpha$ and $b \subseteq a$ then $\models_b \alpha$.

PROOF The proof is by induction on the construction of wff. The theorem is defined to hold for atomic wff, and the rules for \sim , \wedge , \supset and \forall (whether $[\forall]$ or $[\forall^U]$ is used) ensure that it holds for all wff. $\#$

The analogue of Theorem 2 holds in all Kripke models for intuitionistic logic. As a consequence, if iRj and jRi then for all α , $V(\alpha, i) = V(\alpha, j)$, and so every equivalence class of indices may be reduced to one with just a single member. Thus any intuitionistic frame is equivalent to one in which R is antisymmetrical.

The proofs that the operators defined by these conditions are respectable of course depend on the fact that the system of indices is a complete Boolean algebra, and Kripke frames for intuitionistic logic merely require that the accessibility relation be reflexive and transitive. In particular I have made essential use of sets of the form $\{w\}$ which have the property that $\models_{\{w\}} \sim\gamma$ iff $\models_{\{w\}} \gamma$ for any wff γ . Put in terms of indices in Kripke frames this requires that for any i there be some j such that iRj and $V(\sim\gamma, j) = 1$ iff $V(\gamma, j) = 0$ for any wff γ . So, in the first place every index i can see an endpoint j where if jRk

⁸Schulz 1993 p. 176, in the context of situation theory, has two disjunction operators, one, written as \cup , behaves like $[\forall]$, i. e. ‘classically’, while the other is equivalent to $\sim(\sim\alpha \wedge \sim\beta)$. On p. 184 Schulz appears to reject a condition which would state that if $\alpha \vee \sim\alpha$ fails at an incomplete index a (Schulz has failing at an infon σ) then there are indices b and c such that $b \subseteq a$ and $c \subseteq a$ and α is true at a and $\sim\alpha$ is true at c . He calls this condition ‘complete splitting’, and claims it would trivialize the theory. This would seem to be a rejection of some such rule as $[\forall^U]$.

then $j = k$. In the second place the tractarian semantics would require models which satisfy the following *endpoint property*:

[EP] If $V(\alpha, j) = 1$ for every endpoint j such that iRj then $V(\alpha, i) = 1$.⁹

If all propositional variables satisfy [EP] and all operators behave classically on worlds and are respectable, then the logic so determined is classical PC . Now consider the following (Kripke) frame, which I shall call F_1 :

$$I = \{i, j\} \text{ and } R = \{\langle i, i \rangle, \langle i, j \rangle, \langle j, j \rangle\}.$$

In F_1 , R is a partial ordering, and so the frame is a (Kripke) frame for intuitionistic logic. This frame will falsify $\sim p \supset p$ by making p true at j but false at i . From the latter $V(p, i) = 0$ and from the former $V(\sim p, i) = 0$ and $V(\sim p, j) = 0$, and so $V(\sim \sim p, i) = 1$. However, although every world in this frame can see an endpoint this model does not satisfy [EP].¹⁰ Actually F_1 is not a possible tractarian frame, since in a tractarian frame an endpoint, here j , is $\{w\}$ for some world w . i cannot be an endpoint since $i \neq j$. So there is some $w' \neq w$ with $\{w, w'\} \subseteq i$. But then $\{w'\} \subseteq i$ and since $j \neq \{w'\}$ there must be some endpoint k such that iRk and $k \neq j$. Thus we get the following frame F_2 :

$$I = \{i, j, k\} \text{ and } R = \{\langle i, i \rangle, \langle i, j \rangle, \langle i, k \rangle, \langle j, j \rangle, \langle k, k \rangle\}$$

i.e. i can see itself and the two endpoints j and k . In terms of a frame with tractarian indices the worlds would be w_1 and w_2 , and the indices would be $a = \{w_1, w_2\}$, $b = \{w_1\}$ and $c = \{w_2\}$. But suppose we *disallow* c as a possible index. The rationale might be this. In *understanding* the meaning of a wff α we need to know its truth conditions—we need to know whether it is true at this or that index. Suppose that c is an index to which we have no epistemic access. F_1 could be thought of as the ‘conscious’ part of reality. Although c is not present to consciousness, and therefore is not an index in F_1 , yet it covers a part of reality which has effects that *are* so present. Putting $V(p) = \{w_1\}$, and therefore making p false at w_2 , is enough to stop p being true at a *despite* the fact that c is not an allowable index, since the falsity of p at w_2 is discerned at a , which *is* an allowable index. (This is because $a \not\subseteq V(p)$.) Thus an intuitionistic frame can be embedded in a tractarian frame by allowing

⁹There is a related property which van Benthem 1986 p. 238, calls *stability*:

[S] If for all k such that iRk there is some j where kRj and $V(\alpha, j) = 1$ then $V(\alpha, i) = 1$.

Where every index can see an endpoint [EP] and [S] are equivalent. For wff of the form $\sim \alpha$ [S] always holds, for suppose iRk . Then if there is some j such that kRj and $V(\sim \alpha, j) = 1$ then, for every h such that jRh , $V(\alpha, h) = 0$. But kRh , and so, by Theorem 2, $V(\alpha, k) = 0$. If this is so for every k such that iRk then $V(\sim \alpha, i) = 1$, satisfying [S].

¹⁰In tractarian models, and in all Kripke models which satisfy [EP], $\alpha \supset \beta$ may be defined as $\sim(\alpha \wedge \sim \beta)$. But without [EP] this will not work for Kripke models in general. Take the following model based on F_1 : $V(p, i) = V(p, j) = V(q, j) = 1$, $V(q, i) = 0$. Since iRi then $V(p \supset q, i) = 0$. But $V(q, j) = 1$, and so $V(p \wedge \sim q, j) = 0$ and $V(p \wedge \sim q, i) = 0$. So $V(\sim(p \wedge \sim q), i) = 1$.

only certain sets of worlds.¹¹ Using this technique we can give a precise sense in which intuitionistic logic is just what you get from the logic of tractarian indices if you place restrictions on which sets of worlds are indices.

Say that a *general* tractarian frame is a pair $\langle W, P \rangle$ in which W is a set (of ‘worlds’) and P is any non-empty set of non-empty subsets of W ; i. e. $\emptyset \neq P \subseteq \wp W - \emptyset$. P is the set of ‘allowable’ sets of worlds. $\langle W, P \rangle$ may look like a general frame in modal logic (see Hughes and Cresswell 1996, p. 167), but note that P is not required to satisfy the closure conditions which constrain such general frames. Further the closure conditions apply to *indices* not to truth sets. The philosophical importance of this is that a restriction on indices is a restriction on how truth is presented, and in a sense is non-linguistic. Restricting truth sets on the other hand may be thought of as a restriction on *language*. $\langle W, P, V \rangle$ is a *model* based on $\langle W, P \rangle$ iff $V(p) \subseteq W$ for every variable p . $[p]$, $[\wedge]$, $[\sim]$ and $[\supset]$ are as before except that the indices, a , b etc., are restricted to members of P —i. e. to the allowable sets of worlds. For $[\vee^U]$ all of a , b , c and $b \cup c$ must be in P , so that $[\vee^U]$ reads

$[\vee^U] \models_a \alpha \vee \beta$ iff there are some b and c such that a, b, c and $b \cup c \in P$, and $a \subseteq b \cup c$ and $\models_b \alpha$ or $\models_b \beta$, and $\models_c \alpha$ or $\models_c \beta$.

Where $P = \wp W - \emptyset$, $\langle W, P \rangle$ is called a *full* tractarian frame, and models based on full frames are equivalent to tractarian models as earlier defined. Full tractarian frames with $[\vee^U]$ give you classical logic. The logic of general tractarian frames contains intuitionistic logic if $[\vee]$ is used, since \subseteq is reflexive and transitive, and the evaluation rules are exact analogues of those used in the Kripke semantics. However, full frames with $[\vee]$ are not respectable. If $[\vee^U]$ is used, the following model will falsify one of the theorems of intuitionistic logic:¹²

$$((p \supset r) \wedge (q \supset r)) \supset ((p \vee q) \supset r)$$

Let $W = \{1, 2, 3\}$, $a = \{2, 3\}$, $b = \{1, 2\}$, $c = \{1, 3\}$, $P = \{a, b, c, b \cup c\}$, $V(p) = b$, $V(q) = c$, $V(r) = \emptyset$. In this model $a \subseteq b \cup c$, and $\models_b p$ and $\models_c q$. So $\models_a p \vee q$. But since $\not\models_a r$, then $\not\models_a (p \vee q) \supset r$. Now if $d \subseteq a$ and $d \in P$, then $d = a$, and

¹¹In the present example even on F_2 it is straightforward to falsify $\sim\sim p \supset p$ by making p false at i and true at j and k . In a tractarian frame this would have to be because there are *yet more* disallowed endpoints, at which p is false.

¹²The following set of axioms for intuitionistic logic comes from Heyting 1930:

$$\begin{aligned} &H1 \ p \supset (p \wedge p), \ H2 \ (p \wedge q) \supset (q \wedge p), \ H3 \ (p \supset q) \supset ((p \wedge r) \supset (q \wedge r)), \\ &H4 \ ((p \supset q) \wedge (q \supset r)) \supset (p \supset r), \ H5 \ p \supset (q \supset p), \ H6 \ (p \wedge (p \supset q)) \supset q, \\ &H7 \ p \supset (p \vee q), \ H8 \ (p \vee q) \supset (q \vee p), \ H9 \ ((p \supset r) \wedge (q \supset r)) \supset ((p \vee q) \supset r), \\ &H10 \ \sim p \supset (p \supset q), \ H11 \ ((p \supset q) \wedge (p \supset \sim q)) \supset \sim p. \end{aligned}$$

(As I mentioned before I use the ‘classical’ symbols \sim and \supset in place of \neg and \rightarrow .) In the general tractarian semantics using $[\vee^U]$ all the axioms except $H9$ will still be valid even if $[\vee^U]$ is used. All but $H7$, $H8$ and $H9$ lack \vee . $H7$ only requires the trivial consequence of $[\vee^U]$ that if $\models_a \alpha$ or $\models_a \beta$ then $\models_a \alpha \vee \beta$, and $H8$ simply relies on the fact that $[\vee^U]$ makes \vee commutative.

since $\models_a p$ and $\models_a q$ then

$$\models_a ((p \supset r) \wedge (q \supset r)) \supset ((p \vee q) \supset r).$$

To get the class of wff valid in intuitionistic logic P must be restricted in certain ways. Say that a general tractarian frame $\langle W, P \rangle$ is \cup -closed iff for every $C \subseteq P$ such that $\cup C \in P$, $\cup C \in C$.¹³ (In the above example if $C = \{b, c\}$ then $\cup C = b \cup c \in P$, but $b \cup c \notin C$.) \cup -closure may seem philosophically unmotivated, but one can, I think, make at least some progress by considering some comments made on p. 58 of Restall 1999 on the interpretation of disjunction.¹⁴ Restall notes that the incompleteness of semantic indices causes a problem for the ‘classical’ rule for disjunction—i. e. \vee . For if a is incomplete there seems no reason why it should not provide the information that $\alpha \vee \beta$ is true without either providing the information that α is true, or the information that β is true. Restall’s answer is that indices are ‘maximally specific about their subject matter’. So it might help to look at a simple illustration which I have used in a number of places.¹⁵ The idea is that ‘information’ is given by a set B of ‘basic particular situations’, as it might be by which points of spacetime are occupied and which are not. ‘Spacetime’ here may be thought of as some kind of ‘logical space’ or a physical space, though perhaps it is better to think of it as a simplification of a reality which is much more complex. The set B of all such situations is the set of all pairs of the form $\langle \pi, 1 \rangle$ or $\langle \pi, 0 \rangle$ where π is a spacetime point. $\langle \pi, 1 \rangle$ would represent that π is occupied, and $\langle \pi, 0 \rangle$ that it is not. More generally, where Π is any set, the situations structure B , strictly B_Π , based on Π , will be the set of all pairs of the form $\langle \pi, 1 \rangle$ or $\langle \pi, 0 \rangle$ for $\pi \in \Pi$.

¹³A weaker condition will suffice for intuitionistic *propositional* logic. Say that $\langle W, P \rangle$ is \cup^* -closed iff where b, c and $b \cup c$ are all in P then either $b \subseteq c$ or $c \subseteq b$. \cup^* -closure corresponds *precisely* with the equivalence of \vee and \vee^\cup , since if \vee and \vee^\cup are equivalent then $\langle W, P \rangle$ is \cup^* -closed. For suppose b, c and $b \cup c$ are all allowable but neither $b \subseteq c$ nor $c \subseteq b$. Let $V(p) = b$ and $V(q) = c$. Then $\models_{b \cup c} p$ and $\models_{b \cup c} q$. So if \vee is used then $\models_{b \cup c} p \vee q$. But $b \cup c \subseteq b \cup c$ and $\models_b p$ and $\models_c q$, and so if \vee^\cup is used $\models_{b \cup c} p \vee q$. \cup -closure implies \cup^* -closure. I. e., if $\langle W, P \rangle$ is \cup -closed and a, b and $a \cup b \in P$ then either $a \subseteq b$ or $b \subseteq a$, since, given that $\langle W, P \rangle$ is \cup -closed let $C = \{a, b\}$. Now $a \cup b \in P$ and so $a \cup b \in C$. So $a \cup b = a$ or $a \cup b = b$. So $a \subseteq b$ or $b \subseteq a$. But \cup -closure is stronger than \cup^* -closure in the sense that there is a tractarian frame $\langle W, P \rangle$ which is \cup^* -closed but not \cup -closed. For let $W = \{1, 2, 3\}$ and let $P = \{\{1\}, \{2\}, \{3\}, W\}$. Then, where $C = \{\{1\}, \{2\}, \{3\}\}$, $\cup C \in P$ but $\cup C \notin C$. So $\langle W, P \rangle$ is not \cup -closed. But if $a \cup b \in P$ then $a = b$ or $a = W$ or $b = W$. Either way $a \subseteq b$ or $b \subseteq a$. (Note that it is crucial that none of $\{1, 2\}, \{1, 3\}$ or $\{2, 3\}$ is in P .) So $\langle W, P \rangle$ is \cup^* -closed but not \cup -closed.

¹⁴Restall is also concerned to allow *inconsistent* indices, where *both* α and $\sim\alpha$ might be true, and thus provide a motivation for paraconsistent and relevance logics. A criticism of the interpretation of \vee in relevance logic occurs as early as Copeland 1979, pp. 404–406. Devlin 1990 justifies the classical rule for disjunction on p. 90, by saying disjunctive information ‘is already one step removed from the way the world is’. On p. 86 he says that while ‘the information content of the single infon $\langle\langle \text{parent-of}, x, y \rangle\rangle$ is the same as that of the disjunction $\langle\langle \text{father-of}, x, y \rangle\rangle \vee \langle\langle \text{mother-of}, x, y \rangle\rangle$, ‘only the former has infon status’.

¹⁵The idea is found in Wittgenstein 1921. I first explored a version of it in Cresswell 1972, and followed it up in various ways in Cresswell 1973, 1990 and 1994.

A *situation*¹⁶ s is a subset of B and will be called *consistent* if at most one of $\langle \pi, 1 \rangle$ and $\langle \pi, 0 \rangle$ is in s . Let Con be the set of all consistent situations. Say that any set a of situations is *allowable* _{s} iff there is some $s \in \text{Con}$, call it s_a , such that $a = \{s \in \text{Con} : s_a \subseteq s\}$, and let $\langle \text{Con}, P_B \rangle$ be the general tractarian frame in which P_B is the set of all allowable _{s} sets of situations. Intuitively the allowable sets of situations are those which convey precise and full information about a part of the world, that is, those that describe precisely the occupation pattern of some region of space. Such sets are partial since they need speak of only a part of space, but about that region of space they give complete information.

THEOREM 3 $\langle \text{Con}, P_B \rangle$ is \cup -closed.

PROOF (i) $(\forall s \in \text{Con})(s \in \cup C \equiv (\exists a \in C)s \in a)$.

Suppose $C \subseteq P_B$ and $\cup C \in P_B$. Since $\cup C \in P_B$ there is some $s^* \in \text{Con}$ such that $\cup C = \{s \in \text{Con} : s^* \subseteq s\}$, and since $C \subseteq P_B$, if $a \in C$, $a = \{s \in \text{Con} : s_a \subseteq s\}$. So from (i)

(ii) $(\forall s \in \text{Con})(s^* \subseteq s \equiv (\exists a \in C)s_a \subseteq s)$.

and so

$$s^* \subseteq s^* \equiv (\exists a \in C)s_a \subseteq s^*.$$

So for some $a \in C$,

(iii) $s_a \subseteq s^*$.

But also from (ii), $(\forall s \in \text{Con})((\exists a \in C)s_a \subseteq s \supset s^* \subseteq s)$. So $(\forall s \in \text{Con})(\forall a \in C)(s_a \subseteq s \supset s^* \subseteq s)$, and so

$$(\forall a \in C)(s_a \subseteq s_a \supset s^* \subseteq s_a).$$

So $s^* \subseteq s_a$ for every $a \in C$, and so, from (iii) $s^* = s_a$ for some $a \in C$, and so $a = \cup C$ for some $a \in C$, and so $\cup C \in C$. $\#$

¹⁶The use of 'situations' has been extensively developed in the 'situation semantics' deriving from the work of Jon Barwise and John Perry, and may well have some links with the ideas of the present paper. The principal source for situation semantics is Barwise and Perry 1983. On p. 54, they impose a number of conditions on situations to make them what they call *coherent*. The conditions include consistency in the sense of the present paper. A more recent survey of work in this tradition is given in Seligman and Moss, 1997. Barwise and Etchemendy, 1990, note that 'infon algebras' are Heyting algebras, and make brief mention of disjunction on p. 50f. The connection between partiality and intuitionistic logic is discussed on p. 44f of van Benthem 1985. On p. 45 van Benthem notes that the interpretation of \vee in intuitionistic logic may be troublesome in a partial setting. (See also van Benthem 1986, pp. 236–239.) My interpretation of indices as sets of worlds betrays a classical bias, and would almost certainly be rejected by most situation theorists.

Theorem 3 might be thought to suggest that the intuitions behind situation semantics support \cup -closure, and therefore justify intuitionistic logic as its correct logic. However things are not so simple. The original motivation for this semantics was requirement [A] that a wff α is true at an index a iff α is true at every world in a . But not all situations are worlds, and not all sets of situations are sets of worlds. A world gives complete information about the whole of Π . A situation $s \in \text{Con}$ is a *world* iff exactly one of $\langle \pi, 1 \rangle$ and $\langle \pi, 0 \rangle$ is in s for every $\pi \in \Pi$. Let W_B be the set of all worlds based on B . That is, $w \in W_B$ iff w is a world and $w \subseteq B_\Pi$. Where $s \in \text{Con}$ let $a_s = \{w \in W_B : s \subseteq w\}$. That is, a_s is the proposition that (set of worlds in which) s is true. Say that any set a of worlds is *allowable_w* iff a is a_s for some $s \in \text{Con}$, and let $\langle W_B, P_B \rangle$ be the general tractarian frame in which W_B is the set of worlds based on B_Π and P_B is the set of all allowable_w sets of worlds. It turns out that Theorem 3 no longer holds. Consider the case where Π contains just two members π_1 and π_2 , and consider the following situations:

$$\begin{aligned} s_1 &= \{\langle \pi_1, 1 \rangle, \langle \pi_2, 1 \rangle\}, \\ s_2 &= \{\langle \pi_1, 1 \rangle, \langle \pi_2, 0 \rangle\}, \\ s_3 &= \{\langle \pi_1, 1 \rangle\}. \end{aligned}$$

In this set of situations the worlds are s_1 and s_2 , and P_B contains $\{s_1\}, \{s_2\}$ and $\{s_1, s_2\}$. But where $C = \{\{s_1\}, \{s_2\}\}$ then $C \subseteq P_B$ and $\cup C (= \{s_1, s_2\}) \in P_B$ but $\cup C \notin C$. This example does not contradict Theorem 3 since, although $\{s_1, s_2\}$ is allowable_w it is not allowable_s. For although $\{s_1, s_2\} = \{w \in W_B : s_3 \subseteq w\}$, $\{s_1, s_2\} \neq \{s \in \text{Con} : s_3 \subseteq s\}$, since $s_3 \notin \{s_1, s_2\}$. $\{s_1, s_2, s_3\}$ is allowable_s but that is not $\{s_1\} \cup \{s_2\}$.

What this means is that while allowable sets of *situations* are \cup -closed, allowable sets of worlds need not be; so that even if we agree that an index gives all and only information about a restricted part of Π , that still does not decide the question of whether \cup -closure should be a constraint on the logic of partial information. To take up this question however would require an examination of situation semantics which is beyond the scope of this paper. Hopefully though, the paper presents a slightly different account of how restricting indices to certain sets of worlds provides a semantics which leads to intuitionistic logic in a way which is congenial to those who think that situation theory as described above characterises the form in which information is presented to us, and that we can only make sense of truth and falsity when it is presented in this way.

The next theorem establishes that $[\vee]$ and $[\vee^\cup]$ give the same results if $\langle W, P \rangle$ is a \cup -closed frame.

THEOREM 4 If $\langle W, P \rangle$ is \cup -closed and \vee is governed by $[\vee^\cup]$ then $\vDash_a \alpha \vee \beta$ iff $\vDash_a \alpha$ or $\vDash_a \beta$.

PROOF Suppose $\vDash_a \alpha \vee \beta$. Then there are some b and c such that b, c and $b \cup c \in P$, $a \subseteq b \cup c$, $\vDash_b \alpha$ or $\vDash_b \beta$, and $\vDash_c \alpha$ or $\vDash_c \beta$. Given that $\langle W, P \rangle$ is

\cup -closed let $C = \{b, c\}$. Now $b \cup c \in P$ and so $b \cup c \in C$. So $b \cup c = b$ or $b \cup c = c$. So $a \subseteq b$ or $a \subseteq c$. If $a \subseteq b$ then, by Theorem 2, since $\models_b \alpha$ or $\models_b \beta$, $\models_a \alpha$ or $\models_a \beta$, and if $a \subseteq c$, since $\models_c \alpha$ or $\models_c \beta$, $\models_a \alpha$ or $\models_a \beta$. So in either case $\models_a \alpha$ or $\models_a \beta$. Now suppose $\models_a \alpha$ or $\models_a \beta$. Since $a \in P$ then $a \cup a \in P$ and $a \subseteq a \cup a$, and so by $[\vee^\cup]$, $\models_a \alpha \vee \beta$. $\#$

A consequence of Theorem 4 is that although a tractarian frame will satisfy [A] in respect of *atomic* wff, models based on \cup -closed frames need not satisfy [A] in respect of complex wff. An approach which is similar to that of the present paper is the Beth-Kripke-Joyal semantics mentioned in footnote 1. The similarity arises because, as in the present approach, the indices in this semantics appear construable as something like sets of worlds. But there are important differences. As far as I can tell, when translated into the terminology used in this paper, the BKJ rule for \vee is

$[\vee^{\text{BKJ}}] \models_a \alpha \vee \beta$ iff there are b and c with $a = b \cup c$ and $\models_b \alpha$ and $\models_c \beta$.

(For a condition similar to $[\vee^{\text{BKJ}}]$ see (*) on p. 65 of Humberstone 1988.) The principal difference between $[\vee^{\text{BKJ}}]$ and $[\vee^\cup]$ is that the condition in the former is *conjunctive*; it is required that $\models_b \alpha$ and $\models_c \beta$. A less essential difference is that the indices in the present paper are *non-empty* sets of worlds, and there is no reason to suppose that *both* α and β are true anywhere at all. Assuming that the other operators behave in BKJ just as they do in the present paper then if all sets of worlds, including the empty set, are allowed both $[\vee^{\text{BKJ}}]$ and $[\vee^\cup]$ give you classical logic. If no closure conditions at all are added to $[\vee^{\text{BKJ}}]$ then we can falsify $(\sim p \vee \sim p) \supset \sim p$. (Take $W = \{1, 2, 3\}$, $a = W$, $b = \{1, 2\}$, $c = \{1, 3\}$ and $d = \{2, 3\}$, with $P = \{a, b, c, d\}$. Note that for any $e \in P$, if $e \subseteq b$ then $e = b$ and if $e \subseteq c$ then $e = c$. With $V(p) = d$ we have $\models_b \sim p$ and $\models_c \sim p$, and so by $[\vee^{\text{BKJ}}]$, $\models_a \sim p \vee \sim p$. But $\not\models_a \sim p$ since $d \subseteq a$ and $\models_d p$.) Adding the closure condition of the present paper (in the form described in the note 13) would not help, since it would validate $\neg(p \wedge q) \supset \neg(p \vee q)$. And adding *any* closure condition to $[\vee^{\text{BKJ}}]$ which yields $[\vee]$ will give the closure condition of the present paper. For suppose $a = b \cup c$ and that a , b and c are all allowable. Put $V(p) = b$, and $V(q) = c$. Then $\models_a p \vee q$. By $[\vee]$ either $\models_a p$ or $\models_a q$. If $\models_a p$ then $b \cup c \subseteq b$, and if $\models_a q$ then $b \cup c \subseteq c$; so either $b \subseteq c$ or $c \subseteq b$. If I understand the claims of the theorems of the Beth-Kripke-Joyal semantics correctly the point appears to be that if you regard principle [A] of the present paper not in terms of classical set theory, but in terms of a ‘local set theory’ based on intuitionistic logic, and if you *define* truth at a as truth at every $w \in a$ then you can prove that \vee satisfies $[\vee^{\text{BKJ}}]$. Notice that it does *not* follow from [A] that $\models_a \alpha \vee \beta$ gives either $\models_a \alpha$ or $\models_a \beta$. This means that you cannot get $[\vee]$ by imposing closure conditions on allowable indices, which is the way intuitionistic logic is obtained in the present paper. You may be able to obtain intuitionistic logic by imposing conditions on truth sets, such as the condition [EP] described in the text, but as I mentioned in the text, the

closure conditions of the present paper apply to indices not truth sets. This is in line with my claim to present intuitionistic logic as a matter of how the world presents itself rather than how our language characterises it.

An *extended* tractarian (general) frame for some fixed language of first-order predicate logic is a quadruple $\langle W, P, D, Q \rangle$ in which W is a set of ‘worlds’, P a non-empty subset of $\wp W - \emptyset$ and D a set of ‘individuals’. For every $w \in W$, $Q(w) \subseteq D$ is the set of things which ‘exist’ in w . It is required that $\bigcap \{Q(w) : w \in W\} \neq \emptyset$. If we define D_a for $a \in P$ as $\bigcap \{Q(w) : w \in a\}$ then since $\bigcap \{Q(w) : w \in W\} \neq \emptyset$, D_a will be non-empty, and if $a \subseteq b$ then $D_b \subseteq D_a$. For a world $w \in W$, $D_w = Q(w)$. A model, $\langle W, P, D, Q, V \rangle$ based on $\langle W, P, D, Q \rangle$ adds a function V , where $V(\varphi)$, for n -place φ , is a set of $n + 1$ -tuples of the form $\langle u_1, \dots, u_n, w \rangle$ for $u_1, \dots, u_n \in D$ and $w \in W$. In such a model an assignment μ to the variables is a function such that, for each variable x , $\mu(x) \in D$. Every wff can be given a truth value at an index with respect to an assignment μ . Where μ and ρ both assign members of the domain D of individuals to the variables I call them *x-alternatives* iff they agree on all variables except (possibly) x . Where μ is any assignment of members of D to the individual variables then

$$[\varphi] \models_{a\mu} \varphi x_1 \cdots x_n \text{ iff } \langle \mu(x_1), \dots, \mu(x_n), w \rangle \in V(\varphi) \text{ for every } w \in a.$$

The rules for \sim, \wedge, \supset and \vee are $[\neg], [\wedge], [\supset]$ and $[\vee^U]$ (for LPC wff) relativised to an assignment μ . If the indices were worlds the semantic rule for \forall would be

$$[\forall^w] \models_{w\mu} \forall x \alpha \text{ iff for every } x\text{-alternative } \rho \text{ of } \mu \text{ such that } \rho(x) \in Q(w), \models_{w\rho} \alpha.$$

For a propositional index a , \forall must be understood to range over the entities in any world in a . This dictates the following, for $a, b \in P$:

$$[\forall] \models_{a\mu} \forall x \alpha \text{ iff for every } b \subseteq a, \text{ and every } x\text{-alternative } \rho \text{ of } \mu \text{ such that } \rho(x) \in D_b, \models_{b\rho} \alpha.$$

THEOREM 5 If $\langle W, P \rangle$ is full then \forall is classically respectable.

PROOF Suppose that $\models_{a\mu} \forall x \alpha$ and $w \in a$. Then $\{w\} \subseteq a$, and so, by $[\forall]$, for every x -alternative ρ of μ such that $\rho(x) \in D_w, \models_{\{w\}\rho} \alpha$. So, by $[\forall]$, $\models_{\{w\}\mu} \forall x \alpha$. Suppose $\not\models_{a\mu} \forall x \alpha$. Then for some $b \subseteq a$ there is some x -alternative ρ of μ such that $\rho(x) \in D_b$ and $\not\models_{b\rho} \alpha$. So by $[A]$ there is some $w \in b$ such that $\not\models_{\{w\}\rho} \alpha$. But $D_b \subseteq D_w$ and so $\rho(x) \in D_w$, and so $\not\models_{\{w\}\mu} \forall x \alpha$. $\#$

The problem case is, predictably, the existential quantifier. If we take the ‘classical’ rule we have:

$$[\exists] \models_{a\mu} \exists x \alpha \text{ iff there is some } x\text{-alternative } \rho \text{ of } \mu \text{ such that } \rho(x) \in D_a \text{ and } \models_{a\rho} \alpha.$$

But an operator defined by $[\exists]$ is not respectable. For let $\langle W, P \rangle$ be the full frame in which $W = \{w_1, w_2\}$, and let $\langle W, P, D, Q, V \rangle$ be the model based on $\langle W, P \rangle$ in which $D = \{u_1, u_2\}$, $Q(w) = D$ for all $w \in W$ and $V(\varphi) = \{\langle u_1, w_1 \rangle, \langle u_2, w_2 \rangle\}$. Let $a = W$. Then $\models_{\{w_1\}\mu} \exists x \varphi x$ and $\models_{\{w_2\}\mu} \exists x \varphi x$. But where $\mu(x) = u_1$ and $\rho(x) = u_2$ these are the only two assignments for x ; and $\not\models_{a\mu} \varphi x$ since $\langle u_1, w_2 \rangle \notin V(\varphi)$ and $\not\models_{a\rho} \varphi x$ since $\langle u_2, w_1 \rangle \notin V(\varphi)$, and so by $[\exists]$, $\not\models_{a\mu} \exists x \varphi x$ even though $\models_{\{w\}\mu} \exists x \varphi x$ for every $w \in a$. The rule for \exists which corresponds to $[\vee^U]$ is:

$[\exists^*] \models_{a\mu} \exists x \alpha$ iff there is a family $C \subseteq P$ such that $a \subseteq \bigcup C \in P$ and, for every $b \in C$ there is some x -alternative ρ of μ such that $\rho(x) \in D_b$ and $\models_{b\rho} \alpha$.

Note that Theorem 2 still holds for all of $[\forall]$, $[\exists]$ and $[\exists^*]$.

THEOREM 6 If $\langle W, P \rangle$ is full and $[\exists^*]$ is used then \exists is respectable.

PROOF Suppose that $\models_{a\mu} \exists x \alpha$. Then there is a family $C \subseteq P$ such that $a \subseteq \bigcup C \in P$ and, for every $b \in C$ there is some x -alternative ρ of μ such that $\rho(x) \in D_b$ and $\models_{b\rho} \alpha$. Suppose $w \in a$. Then $w \in \bigcup C$ and so, for some $b \in C$, $w \in b$. So, by $[A]$, $\models_{\{w\}\rho} \alpha$. Now $D_b \subseteq D_w$ and so $\rho(x) \in D_w$ and so $\models_{\{w\}\mu} \exists x \alpha$. Suppose $\models_{\{w\}\mu} \exists x \alpha$ for every $w \in a$. Then $\models_{\{w\}\rho} \alpha$ for some x -alternative ρ of μ such that $\rho(x) \in D_w$. Let $C = \{\{w\} : w \in a\}$. Then $a \subseteq \bigcup C$ and for every $b \in C$ there is some x -alternative ρ of μ such that $\rho(x) \in D_b$ and $\models_{b\rho} \alpha$. So, by $[\exists^*]$, $\models_{a\mu} \exists x \alpha$. $\#$

A wff α is valid on an extended tractarian frame $\langle W, P, D, Q \rangle$ iff α is valid in every model $\langle W, P, D, Q, V \rangle$ based on $\langle W, P, D, Q \rangle$, i. e. iff $\models_{a\mu} \alpha$ for every $a \in P$ and every μ such that $\mu(x) \in D_a$ for every x free in α . A frame (model) is said to be a *frame for (model for)* a set X of wff iff every $\alpha \in X$ is valid on that frame (model). Theorems 5 and 6 guarantee the link with classical logic. A (classical) *interpretation* for LPC is a pair $\langle D, V \rangle$ where D is a non-empty class, and V is a function such that where φ is an n -place predicate then $V(\varphi)$ is a class of n -tuples from D . Truth is defined with respect to an assignment μ to the variables in the following way:

- $V_\mu(\varphi x_1 \dots x_n) = 1$ if $\langle \mu(x_1), \dots, \mu(x_n) \rangle \in V(\varphi)$ and 0 otherwise.
- $V_\mu(\alpha \wedge \beta) = 1$ if $V_\mu(\alpha) = 1$ and $V_\mu(\beta) = 1$ and 0 otherwise.
- $V_\mu(\sim \alpha) = 1$ if $V_\mu(\alpha) = 0$ and 0 otherwise.
- $V_\mu(\alpha \vee \beta) = 1$ if $V_\mu(\alpha) = 1$ or $V_\mu(\beta) = 1$ and 0 otherwise.
- $V_\mu(\alpha \supset \beta) = 1$ if either $V_\mu(\alpha) = 0$ or $V_\mu(\beta) = 1$ and 0 otherwise.
- $V_\mu(\forall x \alpha) = 1$ if $V_\rho(\alpha) = 1$ for every x -alternative ρ of μ , and 0 otherwise.
- $V_\mu(\exists x \alpha) = 1$ if there is an x -alternative ρ of μ such that $V_\rho(\alpha) = 1$, and 0 otherwise.

A wff α is *valid* in a classical interpretation $\langle D, V \rangle$ iff $V_\mu(\alpha) = 1$ for every assignment μ . A wff α is a *classical consequence* of a set X of wff iff α is valid in every classical interpretation in which every member of X is valid.

Suppose that $\langle W, P \rangle$ is a full tractarian frame and that $\langle W, P, D, Q, V \rangle$ is any tractarian model based on $\langle W, P \rangle$. For any $w \in W$, let $\langle Q(w), V^w \rangle$ be the classical interpretation in which $\langle u_1, \dots, u_n \rangle \in V^w(\varphi)$ iff $\langle u_1, \dots, u_n, w \rangle \in V(\varphi)$. An obvious induction on the construction of α establishes, for every assignment μ where $\mu(x) \in Q(w)$ for every x free in α ,

THEOREM 7 $V_\mu^w(\alpha) = 1$ iff $\models_{\{w\}\mu} \alpha$.

Conversely, suppose that $\langle D, V \rangle$ is a classical interpretation and let w be some arbitrary object and let $Q(w) = D$, and let $\langle W, P, D, Q, V^* \rangle$ be the (full) tractarian model in which $W = \{w\}$, $P = \{\{w\}\}$, and for $u_1, \dots, u_n \in D$, we have $\langle u_1, \dots, u_n, w \rangle \in V^*(\varphi)$ iff $\langle u_1, \dots, u_n \rangle \in V(\varphi)$. Then, also by induction:

THEOREM 8 $V_\mu(\alpha) = 1$ iff $\models_{\{w\}\mu} \alpha$.

Where X is any set of wff say that $X \models_{T+} \alpha$ iff α is valid in every full tractarian model for X .

THEOREM 9 $X \models_{T+} \alpha$ iff α is a classical consequence of X .

PROOF Suppose that $X \not\models_{T+} \alpha$. Then it follows that there is a full tractarian model $\langle W, P, D, Q, V \rangle$ in which every $\beta \in X$ is valid but $\not\models_{a\mu} \alpha$ for some $a \in P$ and some assignment μ such that $\mu(x) \in D_a$ for every x free in α . Since $\langle W, P \rangle$ is full all the operators are respectable, and so, by [A], for some $w \in a$, $\not\models_{\{w\}\mu} \alpha$, and since $\mu(x) \in D_a$ and $\{w\} \subseteq a$ then $\mu(x) \in Q(w)$ ($= D_{\{w\}}$). Since every $\beta \in X$ is valid in $\langle W, P, D, Q, V \rangle$ then $\models_{\{w\}\rho} \beta$ for every $\beta \in X$ and every ρ such that $\rho(x) \in Q(w)$ for every x free in β . So, by Theorem 7, $V_\mu^w(\alpha) = 0$ and $V_\rho^w(\beta) = 1$, for every $\beta \in X$ and every assignment ρ such that $\rho(x) \in Q(w)$ for every x free in β . But then α is not a classical consequence of X . Conversely, suppose that α is not a classical consequence of X . Then there is a classical interpretation $\langle D, V \rangle$ in which $V_\mu(\alpha) = 0$ for some assignment μ , and $V_\rho(\beta) = 1$ for every $\beta \in X$ and every assignment ρ . Where $\langle W, P, D, Q, V^* \rangle$ is defined as in Theorem 8, $\not\models_{\{w\}\mu} \alpha$ where $\mu(x) \in D_w$ for every x free in α , and $\models_{\{w\}\rho} \beta$ for every $\beta \in X$ and every ρ such that $\rho(x) \in D_w$ for every x free in β , and so α fails in a full tractarian model for X , and so $X \not\models_{T+} \alpha$. $\#$

To obtain intuitionistic logic we require \cup -closed frames. The next theorem shews that $[\exists]$ and $[\exists^*]$ are equivalent in a \cup -closed tractarian frame.

THEOREM 10 If $\langle W, P \rangle$ is \cup -closed and $[\exists^*]$ is used then $\models_{a\mu} \exists x\alpha$ iff there is some x -alternative ρ of μ such that $\rho(x) \in D_a$ and $\models_{a\rho} \alpha$.

PROOF Suppose $[\exists^*]$ is used and $\models_{a\mu} \exists x\alpha$. Then there is a family $C \subseteq P$ such that $a \subseteq \cup C \in P$ and, for every $b \in C$ there is some x -alternative ρ of

μ such that $\rho(x) \in D_b$ and $\models_{b\rho} \alpha$. Since $\langle W, P \rangle$ is \cup -closed then $\cup C \in C$ and so there is some x -alternative ρ of μ such that $\rho(x) \in D_{\cup C}$ and $\models_{\cup C\rho} \alpha$. But $a \subseteq \cup C$ and so $D_{\cup C} \subseteq D_a$. So $\rho(x) \in D_a$ and, by Theorem 2, $\models_{a\rho} \alpha$. If $\models_{a\rho} \alpha$ for some x -alternative ρ of μ let $C = \{a\}$. Then $\cup C = a$ and so $a \subseteq \cup C$, and for every $b \in C$, $\models_{b\rho} \alpha$. So $\models_{a\mu} \exists x\alpha$. $\#$

A Kripke model for intuitionistic predicate logic is a quintuple $\langle I, R, D, Q, V \rangle$ in which I is a set of 'indices', R a reflexive, transitive and antisymmetrical relation on I , D another set, and Q a function such that for $i \in I$, $Q(i) \subseteq D$ and if iRj then $Q(i) \subseteq Q(j)$.¹⁷ V is a function such that, where φ is an n -place predicate, $V(\varphi)$ is a set of $n + 1$ -tuples each of the form $\langle u_1, \dots, u_n, i \rangle$ for $u_1, \dots, u_n \in D$ and $i \in I$, where, if $\langle u_1, \dots, u_n, i \rangle \in V(\varphi)$ and iRj then $\langle u_1, \dots, u_n, j \rangle \in V(\varphi)$. For atomic wff $\varphi x_1 \dots x_n$ we have $V_\mu(\varphi x_1 \dots x_n, i) = 1$ if $\langle \mu(x_1), \dots, \mu(x_n), i \rangle \in V(\varphi)$ and 0 otherwise. The propositional operators work as before, though relativised to an assignment μ . The quantifiers are evaluated as

$[\forall^I]$ $V_\mu(\forall x\alpha, i) = 1$ if $V_\rho(\alpha, j) = 1$ for every j such that iRj , and every x -alternative ρ of μ such that $\rho(x) \in Q(j)$ and 0 otherwise.¹⁸

$[\exists^I]$ $V_\mu(\exists x\alpha, i) = 1$ if $V_\rho(\alpha, i) = 1$ for at least one x -alternative ρ of μ such that $\rho(x) \in Q(i)$ and 0 otherwise.

A wff is valid in a model $\langle I, R, D, Q, V \rangle$ iff for every index $i \in I$, $V_\mu(\alpha, i) = 1$ for every assignment μ such that $\mu(x) \in Q(i)$ for every variable x . I shall call $\langle I, R, D, Q \rangle$ an extended Kripke frame; and validity on a frame will mean validity in every model based on that frame.

I now shew that restricting tractarian frames to those which are \cup -closed gets intuitionistic logic. Let $\langle I, R \rangle$ be an intuitionistic frame. For $i \in I$ let $i^+ = \{j : iRj\}$. Then iRj iff $j^+ \subseteq i^+$. Let $\langle I, P^I \rangle$ be the tractarian frame obtained from $\langle I, R \rangle$ by letting $a \in P^I$ iff $a = i^+$ for some $i \in I$.

THEOREM 11 If $C \subseteq P^I$ and $\cup C \in P^I$ then $\cup C \in C$.

PROOF First note that if $C \subseteq P^I$ and $a \in C$ then $a = i^+$ for some $i \in I$ (call it i_a); and, in particular, if $\cup C \in P^I$ then $\cup C = i_*^+$ for some $i_* \in I$. (I. e.

¹⁷See Kripke 1965. (The semantic rules for the quantifiers are on p. 96.) For the antisymmetry condition see the comment on Theorem 2 above.

¹⁸It might be instructive to consider what would happen if the following rule were used for \forall :

$[\forall']$ $V_\mu(\forall x\alpha, i) = 1$ if $V_\rho(\alpha, i) = 1$ for every x -alternative ρ of μ such that $\rho(x) \in Q(i)$ and 0 otherwise.

By $[\forall']$, given Theorem 2, $\forall x\alpha$ would be true at i iff at every j such that iRj , α is true of *everything which exists in* i . The difference between \forall according to $[\forall^I]$ and according to $[\forall']$ mimics the difference in ordinary modal logic between $\Box\forall x\alpha$ and $\forall x\Box\alpha$, except that in modal logic the difference can be expressed syntactically. In tractarian models $[\forall']$ would be what you get if $[\forall]$ were to read:

$\models_{a\mu} \forall x\alpha$ iff for every x -alternative ρ of μ such that $\rho(x) \in D_a$, $\models_{a\rho} \alpha$.

$i_* = \bigcup C$.) Now, for any $j \in I$, $j \in i_*^+$ iff for some $a \in C$, $j \in a$. So in particular $i_* \in i_*^+$ iff for some $a \in C$, $i_* \in a$. But $i_* \in i_*^+$ and so $i_* \in a$; i.e., $i_a Ri_*$. But $i_a \in \bigcup C$, i.e. $i_a \in i_*^+$, and so $i_* Ri_a$. So, by antisymmetry $i_* = i_a$ and so $i_*^+ = a$, for some $a \in C$. So $\bigcup C \in C$.¹⁹ #

Let $\langle I, R \rangle$ be an intuitionistic frame generated by an index i^* , and then let $\langle I, R, D, Q, V \rangle$ be an intuitionistic model based on $\langle I, R \rangle$. Consider the corresponding tractarian model $\langle I, P^I, D, Q, V \rangle$, based on $\langle I, P^I \rangle$. Note that $Q(i) = D_{i^+}$ as D_{i^+} is defined for tractarian models, since $u \in D_{i^+}$ iff $u \in Q(j)$ for every $j \in i^+$, i.e. every j such that iRj ; and given that $Q(i) \subseteq Q(j)$ this will hold iff $u \in Q(i)$. Furthermore, $\bigcap \{Q(i) : i \in I\} = Q(i^*)$, and it follows that $\bigcap \{Q(i) : i \in I\} \neq \emptyset$.

THEOREM 12 $\models_{i+\mu} \alpha$ iff $V_\mu(\alpha, i) = 1$.

PROOF Suppose $\models_{i+\mu} \varphi x_1 \dots x_n$. Then $\langle \mu(x_1), \dots, \mu(x_n), j \rangle \in V(\varphi)$ for all $j \in i^+$, i.e., for all j such that iRj . In particular, $\langle \mu(x_1), \dots, \mu(x_n), i \rangle \in V(\varphi)$, and so $V_\mu(\varphi x_1 \dots x_n, i) = 1$. Suppose $V_\mu(\varphi x_1 \dots x_n, i) = 1$. Then $\langle \mu(x_1), \dots, \mu(x_n), j \rangle \in V(\varphi)$ for all j such that iRj , i.e., for all $j \in i^+$. So $\models_{i+\mu} \varphi x_1 \dots x_n$. The rules for \sim, \wedge, \supset and \forall are exactly parallel for intuitionistic models and tractarian models and so the induction will go through. This can be illustrated in the case of \neg : If $\models_{i+\mu} \sim \alpha$ then $\models_{b\mu} \alpha$ for every $b \in P$ such that $b \subseteq i^+$. Since $b \in P$, $b = j^+$ for some $j \in I$, and $\models_{j+\mu} \alpha$. So, by the induction hypothesis, $V_\mu(\alpha, j) = 0$. Since $j^+ \subseteq i^+$, iRj ; and so $V_\mu(\alpha, j) = 0$ for every j such that iRj , and so $V_\mu(\sim \alpha, i) = 1$. If $\models_{i+\mu} \sim \alpha$ then there is some $j^+ \subseteq i^+$ such that $\models_{j+\mu} \alpha$; and so $V_\mu(\alpha, j) = 1$ and iRj . So $V_\mu(\sim \alpha, i) = 0$. The induction for \vee and \exists is exactly parallel if $[V]$ and $[\exists]$ are used in the tractarian model. But by Theorem 11, the model is \bigcup -closed and so, by Theorems 4 and 10, the result is the same if $[V^\cup]$ and $[\exists^*]$ are used. #

For the other direction, where $\langle W, P, D, Q, V \rangle$ is a tractarian model and $\langle W, P \rangle$ is \bigcup -closed, and R is the converse of \subseteq , and for $a \in P$, $Q^*(a) = \bigcap \{Q(w) : w \in a\}$, then $\langle P, R, D, Q^*, V \rangle$ is an intuitionistic model for which the following holds for every wff α and every $a \in P$:

THEOREM 13 $\models_{a\mu} \alpha$ iff $V_\mu(\alpha, a) = 1$.

PROOF The proof, given that $\langle W, P \rangle$ is \bigcup -closed, is, as for Theorem 12, by induction on the construction of α . #

¹⁹ P^I sets are \bigcup -closed and therefore \cup^* closed in the sense of footnote 13 which speaks of allowable unions of indices. It is also instructive to consider their intersection. Suppose that $i^+ \cap j^+$ is non-empty. Then there is some k such that $k \in i^+ \cap j^+$. But then iRk and jRk . So if $i^+ \cap j^+$ is non-empty there is some k such that iRk and jRk . The condition that any two indices can see a common index marks off the extension of intuitionistic logic obtained by adding $\sim p \vee \sim \sim p$. (See Dummett and Lemmon 1959, p. 252.) In a finite frame the condition entails that there is a 'bottom' index — a $k^* \in I$ such that iRk^* for all $i \in I$. Further $[\sim]$ entails that in any frame with a bottom index k^* , for any $i \in I$ and any wff α , $V(\sim \alpha, i) = V(\sim \alpha, k^*)$. What this means is that in any finite frame, on the assumption that every pair of allowable propositions has a non-empty intersection, every negated wff behaves completely classically.

It is an immediate consequence of Theorems 12 and 13 that a wff fails in an intuitionistic model iff it fails in a \cup -closed tractarian model. This shews that the class of wff valid in intuitionistic logic is just the class of wff valid on \cup -closed extended tractarian frames. Further, Theorems 12 and 13 guarantee the equivalence of ‘tractarian’ logic and intuitionistic logic in the following strong sense:

THEOREM 14 Where X is any set of wff of LPC, and α is any wff and $X \models_{\top\cup} \alpha$ means that α is valid in every \cup -closed tractarian model for X , and $X \models_I \alpha$ means that α is valid in every Kripke model for X , then $X \models_{\top\cup} \alpha$ iff $X \models_I \alpha$

I am not able to claim that this semantics is superior to the many that are already on offer for intuitionistic logic, but insofar as it seems a little different, and has *some* philosophical motivation, it is perhaps worthy of consideration.

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